


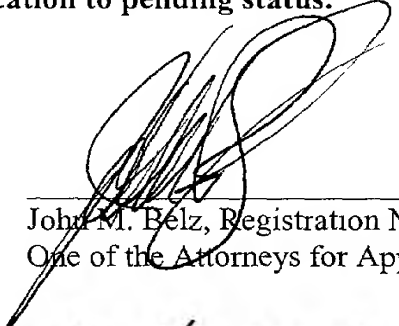
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO. 440436
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371		U.S. APPLICATION NO. 09/787944
INTERNATIONAL APPLICATION NO. PCT/EP99/06424	INTERNATIONAL FILING DATE 31 August 1999	PRIORITY DATE CLAIMED 25 September 1998
TITLE OF INVENTION Filtration Systems and Methods		
APPLICANT(S) FOR DO/EO/US John SLEGERS		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 USC 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
11. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper Copy
 - c. ☐ Statement verifying identity of above copies

Items 12 to 19 below concern other document(s) or information included:

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - ☐ Form PTO-1449
 - ☐ Copies of Listed Documents
13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Application Data Sheet Under 37 CFR 1.76
18. ☒ Return Receipt Postcard
19. ☒ Other items or information: International Publication No. WO 00/18497, including International Search Report; Form PCT/IPEA/409

U.S. APPLICATION NO. 09/787944		INTERNATIONAL APPLICATION NO. PCT/EP99/06424		ATTORNEY DOCKET NO. 440436			
20. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4) \$ 100.00				CALCULATIONS		PTO USE ONLY	
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total Claims	35 -20=	15	x \$ 18.00	\$270.00			
Independent Claims	8 - 3 =	5	x \$ 80.00	\$400.00			
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+\$270.00	\$			
TOTAL OF ABOVE CALCULATIONS=				\$1530.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$			
SUBTOTAL=				\$1530.00			
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
 23548 PATENT TRADEMARK OFFICE		 John M. Belz, Registration No. 30,359 One of the Attorneys for Applicant(s)					
		23 Mar 2001 Date					

PATENT
Attorney Docket No. 440436

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John SLEGERS

U.S. National Phase of International Application No. PCT/EP99/06424

International Filing Date: August 31, 1999

For: FILTRATION SYSTEMS AND
METHODS

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Prior to the examination of, and the calculation of the filing fee for, the above-identified patent application, please enter the following amendments and consider the following remarks.

IN THE CLAIMS:

Claim 3, line 1, delete "or claim 2".

Claim 9, line 1, change "any one of claims 1 to 8" to --claim 1--.

Claim 12, line 1, change "any one of claims 7 to 11" to --claim 10--.

Claim 13, line 1, change "any one of claims 5 to 12" to --claim 10--.

Claim 14, line 1, delete "claim 8 or"

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Claim 15, line 1, change “according to any preceding claim” to --claim 1--.

Claim 18, line 1, delete “or claim 17”.

Claim 21, line 1, delete “or claim 20”.

Delete claim 23.

Claim 26, line 1, delete “or claim 25”.

Claim 32 line 1, delete “or claim 31”.

Claim 33, line 1, change “any one of claims 24-32” to --claim 24--.

Delete claim 37.

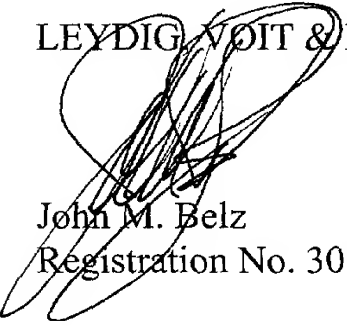
In re Appln. of SLEIGERS
PCT/EP99/06424

REMARKS

These amendments are intended to delete any multiple dependencies. No new matter is being entered.

Respectfully submitted,

LEYDIG, VOIT & MAYER


John M. Belz
Registration No. 30,359

Suite 300
700 Thirteenth Street, N.W.
Washington, D.C. 20005
Telephone: (202) 737-6770
Facsimile: (202) 737-6776

Date: 23 Mar 2001

JMB/cmg

FILTRATION SYSTEMS AND METHODS

The invention relates to filtration systems and methods.

5 A known filtration system has a continuous flowpath for circulation of fluid around the flowpath. Fluid circulating around the flowpath is filtered so that fluid leaves the flowpath on filtration. The system includes a reservoir from which unfiltered fluid is passed to the continuous flowpath in response to the filtration of fluid circulating around the continuous flowpath. When the reservoir empties, circulation around the
10 continuous flowpath ceases as fluid lost through filtration cannot be replaced.

A significant amount of unfiltered fluid may remain in the continuous flowpath when circulation ceases. It is often desirable to filter at least part of this remaining fluid so as to maximize the yield of filtered fluid.

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According to a first aspect of the invention there is provided a filtration system comprising, a first continuous flowpath for circulation of fluid therearound, a second continuous flowpath for circulation of fluid therearound after said circulation around said first continuous flowpath, a portion of the first continuous flowpath not being
20 included in the second continuous flowpath and the second continuous flowpath having a lower volume than the first continuous flowpath, fluid circulating around

each continuous flowpath being filtered so that fluid leaves said each continuous flowpath on filtration, means for passing fluid to the first continuous flowpath in response to said filtration of fluid circulating around the first continuous flowpath, and means for passing fluid to the second continuous flowpath from the portion in response to said filtration of fluid circulating around the second continuous flowpath.

According to a second aspect of the invention, there is provided a filtration system comprising a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter, the system being selectively operable in a first state in which the manifold and the filter flowpaths form part of a first continuous flowpath around which fluid circulates passing in parallel through the filter flowpaths and a second state in which fluid circulates around a second continuous flowpath including the filter flowpath of at least one but not all of the filters, the second continuous flowpath having a lower volume than the first continuous flowpath and fluid passing into the second continuous flowpath from the manifold responsive to tangential filtration in the second state.

According to a third aspect of the invention, there is provided a filtration system comprising a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filter

medium of fluid passing through the filter flowpath, and a manifold connected to each filter for circulation of fluid through the manifold and through, in parallel, the filter flowpaths, the system being selectively operable to pass fluid from the manifold to at least one but not all of the filters.

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According to a fourth aspect of the invention there is provided a filtration system comprising a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter, the system being selectively operable in a first state in which the manifold and the filter flowpaths form part of a first continuous flowpath around which fluid circulates passing in parallel through the filter flowpaths and a second state in which fluid flows in a second flowpath including at least a portion of the filter flowpath of at least one of the filters, the second flowpath having a lower volume than the first continuous flowpath.

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According to a fifth aspect of the invention there is provided a filtration method comprising the steps of, circulating fluid around a first continuous flowpath, fluid circulating around the first continuous flowpath being filtered so that fluid leaves the first continuous flowpath on filtration, passing fluid to the first continuous flowpath in response to said filtration of fluid circulating around the first continuous flowpath, circulating fluid around a second continuous flowpath having a lower volume than the

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first continuous flowpath, a portion of the first continuous flowpath not being included in the second continuous flowpath, fluid circulating around the second continuous flowpath being filtered so that fluid leaves the second continuous flowpath on filtration, passing fluid to the second continuous flowpath from the portion in response to said filtration of fluid circulating around the second continuous flowpath.

According to a sixth aspect of the invention there is provided a filtration method comprising the steps of providing a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter; circulating fluid around a first continuous flowpath formed partly by the manifold and the filter flowpaths, the fluid passing in parallel through the filter flowpaths; and circulating fluid around a second continuous flowpath including the filter flowpath of at least one but not all of the filters; the second continuous flowpath having a lower volume than the first continuous flowpath and fluid passing into the second continuous flowpath from the manifold in response to tangential filtration by said at least one filter.

According to a seventh aspect of the invention there is provided a filtration method comprising the steps of; providing a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filter medium of fluid passing through the filter flowpath,

and a manifold connected to each filter; circulating fluid through the manifold and through, in parallel, the filter flowpaths; and passing fluid from the manifold to at least one but not all of the filters.

- 5 According to an eighth aspect of the invention there is provided a filtration method comprising the steps of; providing a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter; circulating fluid around a first
- 10 continuous flowpath formed partly by the manifold and the filter flowpaths; the fluid passing in parallel through the filter flowpaths; flowing fluid in a second flowpath including at least a portion of the filter flowpath of at least one of the filters; the second flowpath having a lower volume than the first continuous flowpath.

- 15 The following is a more detailed description, by way of example, of embodiments of the invention, reference being made the appended drawings, in which:

Figure 1 is a schematic representation of a first filtration system;

- 20 Figure 2 is a schematic representation of a filter of the first filtration system of Figure 1; and

Figure 3 is a schematic representation of a second filtration system.

As shown in Figure 1, the first filtration system includes a tank 10 for unfiltered liquid, a tank 12 for filtered liquid and four filters 14,16,18,20.

The filters 14,16,18,20 are identical and only one filter 14 will be described in detail. As shown in Figures 1 and 2, the filter 14 has an outer cylindrical casing 22 that contains a cylindrical filter medium 24. Each end of the cylindrical filter medium 24 is sealed to a respective end of the cylindrical casing 22.

A liquid inlet 26 is provided in one end of the cylindrical casing 22 and communicates with the interior of the filter medium 24. A liquid outlet 28 is provided in the other end of the cylindrical casing 22 and also communicates with the interior of the filter medium 24. Two further liquid outlets 30 (an upper and a lower outlet) are provided in the circumferential surface of the cylindrical casing 22 and communicate with an annular space 31 within the casing 22 that lies radially outwardly of the filter medium 24.

The reference numbers given to the features of filter 14 will be used for the corresponding features of the filters 16,18,20.

The filters 14,16,18,20 are connected between first and second manifolds 32,34, shown schematically by dotted outlines. As shown in Figure 1, the first manifold 32 lies below the filters 14,16,18,10 and the second manifold 34 lies above the filters 14,16,18,20. The first manifold 32 has a single inlet 36 and four outlets 38,40,42,44.

5 One of the outlets 38 is provided with a valve 46 that is switchable between open and closed positions.

The outlet 38 provided with the valve 46 is connected to the liquid inlet 26 of filter 14. The remainder of the outlets 40,42,44 of the first manifold 32 are connected to
10 respective ones of the liquid inlets 26 of the filters 16,18,20.

The second manifold 34 has four inlets 48,50,52,54. The inlet 48 is provided with a valve 56. The valve 56 is a butterfly valve that has been adapted by drilling holes of predetermined diameter in the valve blade. The arrangement is such that when the
15 valve blade is open liquid can flow through the valve 56 readily and when the valve blade is closed, there is a restricted flow of liquid through the holes drilled in the valve blade.

The inlet 48 of the second manifold 34 that is provided with the valve 56 is connected
20 to the liquid outlet 28 of the filter 14. The remaining inlets 50,52,54 of the second manifold 34 are connected to respective fluid outlets 28 of the remaining filters 16,18,20.

The second manifold 34 has a first outlet 58 for passing fluid back to the first manifold 32, as described below, and a second outlet 60 for passing liquid to the unfiltered liquid tank 10. The second outlet 60 is provided with a valve 62 which is switchable between open and closed positions.

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The second manifold 34 is also provided with a vent 64 leading to the atmosphere and provided with a valve 66 which is switchable between an open and a closed position.

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The system also comprises a third manifold 68 and a fourth manifold 70 which are shown schematically in Figure 1 in dotted outline. The third manifold 68 has first, second, third and fourth inlets (not shown) and a single outlet 72. The third manifold 68 also has a valve 74 switchable between an open position in which all the inlets are connected to the outlet 72 and a closed position, in which the first inlet remains connected to the outlet 72 and the second, third and fourth inlets are isolated from the outlet 72.

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The first inlet of the third manifold 68 is connected to the upper one of the liquid outlets 30 in the cylindrical casing 22 of the filter 14. Each of the second, third and fourth inlets of the third manifold is connected to a respective one of the upper liquid outlets 30 in the filters 16,18,20. The outlet 72 of the third manifold 68 is connected to a line 76 leading to the filtered liquid tank 12.

The fourth manifold 70 is similar to the third manifold 68 having first, second, third and fourth inlets (not shown) and a single outlet 78. A valve 80 is provided in the fourth manifold 70 and, as per the valve 74, serves to connect or isolate the second, third and fourth inlets of the fourth manifold 70 to/from the fourth manifold outlet 78.

- 5 The first inlet of the fourth manifold 70 remains connected to the outlet 78 independently of the operation of the valve 80.

- 10 The first inlet of the fourth manifold 70 is connected to the lower one of the liquid outlets 30 of the filter 14. Each of the second, third and fourth inlets of the fourth manifold 70 is connected to a respective one of the lower liquid outlets 30 of the filters 16,18,20. The fourth manifold outlet 78 is connected to the line 76.

- 15 A first feedline 82 provided with a valve 84 connects the unfiltered liquid tank 10 to the inlet of a first pump 86. A second feedline 88 provided with a valve 90 connects the outlet of the first pump 86 to the inlet of a second pump 92. The outlet of the second pump 92 is connected to the inlet 36 of the first manifold 32.

Each of the valves 84,90 is switchable between open and closed positions.

- 20 Typically, the first and second pumps 86,92 are such that the second pump 92 pumps liquid with a throughput approximately ten times greater than that of the first pump

86. The volume of the liquid held within the second pump 92 is greater than that held within the first pump 86.

5 The system also comprises a first bypass 94 that extends from the second feedline 88, from a point between the valve 90 and the second pump 92, to the first feedline 82, to a point between the valve 84 and the first pump 86. The first bypass 94 is provided with a valve 96 that is switchable between open and closed positions.

10 A second bypass 98 extends from the second feedline 88, from a point between the first pump 86 and the valve 90 to the outlet 38 of the first manifold 32, at a point between the valve 46 and the fluid inlet 26 of the filter 14.

The second bypass 98 has a valve 100 that is switchable between open and closed positions.

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The bypasses 94,98 are formed of small bore pipe.

In operation, the filtration system is used to filter wine to remove sediment from the wine. The system operates in two stages, as described below.

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In the first stage, the valves 96,100 in the first and second bypasses 94,98 and the valve 66 in the vent 64 are closed. The remaining valves 84,90,46,56,74,80 and 62 are open.

5 Wine to be filtered is placed in the unfiltered liquid tank 10 and passes from the tank 10 to the first pump 86 via the first feedline 82. The first pump 86 pumps the wine along the second feedline 88 to the second pump 92. The second pump 92 pumps wine into the first manifold 32 via the manifold inlet 36.

10 Wine passes through each of the outlets 38,40,42,44 of the first manifold 32 into the corresponding fluid inlets 26 of the filters 14,16,18,20. With reference to Figure 2, wine then passes, in each filter 14,16,18,20, through the interior of the cylindrical filter medium 24 to the fluid outlet 28 of the filter 14,16,18,20.

15 Hence, in each filter, 14,16,18,20, the interior 101 of the cylindrical filter medium 24 acts as a flowpath by which unfiltered wine passes through the filter 14,16,18,20. In each filter 14,16,18,20 a portion of the unfiltered wine entering the filter at the fluid inlet 26 passes from this flowpath across the filter medium 24 to the annular space 31 outside the filter medium. Wine that has passed across the filter medium 24 is
20 relatively free of sediment, the sediment largely remaining in the flowpath formed by the interior 101 of the filter medium 24.

Filtration in this manner (the fluid to be filtered being passed over a filtration medium so that a portion of the fluid passes across the medium so as to be filtered and the remainder of the fluid passes along the filter medium to an outlet) is well known and referred to as tangential filtration. Tangential filtration includes tangential filtration in filters which have no moving parts and filters which do have moving parts, i.e. dynamic filters.

Unfiltered wine (and sediment removed from the filtered wine) passes out of the filters 14,16,18,20 via the fluid outlets 28 into the inlets 48,50,52,54 of the second manifold 34. The majority of the wine passing into the second manifold 34 passes via the first outlet 58 of the second manifold 32 to the second feedline 88 and so back to the inlet of the second pump 92.

A small portion of the wine from the second manifold 34 passes via the second outlet 60 to the unfiltered liquid tank 10 for a purpose described below.

It will be appreciated that the second pump 92, the first manifold 32, the interior of the filter media 24, the second manifold 34 (including the inlets 48,50,52,54, the first outlet 58 but excluding the second outlet 60) and a small portion of the second feedline 88 (between the first outlet 58 and the second pump 92) form a first continuous flowpath for unfiltered wine. Once the components of this continuous flowpath have been primed with wine, the second pump 92 serves largely to circulate

the wine around this flowpath. During this circulation, wine continuously passes across the filter media 24, so as to be filtered, and collects in the annular spaces 31 of the filters 14,16,18,20. Wine passes from the annular spaces, through the upper and lower liquid outlets 30 into the inlets of the third and fourth manifolds 68,70 and from the outlets 72,78 of the third and fourth manifold 68,70 to the filtered liquid tank 12 via the line 76.

Clearly, as wine is filtered by the filters 14,16,18,20, wine is lost from the first continuous flowpath referred to above. Additionally, wine is lost from this flowpath via the outlet 60 of the second manifold 34. Wine lost from the first continuous flowpath is replaced by wine from the unfiltered liquid tank 10 which passes into the first continuous flowpath via the first feedline 82, the first pump 86 and the second feedline 88, in response to the loss due to the filtration and the loss via the outlet 60.

The first pump 86 also serves to maintain a constant, predetermined pressure at the inlet of the second pump 92. Wine leaving the second pump 92 is at a greater pressure (generally 2.0-2.5 bar), suitable for efficient functioning of the filters 14,16,18,20.

As the sediment from the filtered wine is largely retained in the continuous flowpath, the concentration of the sediment in the continuous flowpath increases. The increase in concentration can be detrimental to efficient filtration. The passage of a portion of the wine from the continuous flowpath back to the unfiltered liquid tank 10 via the

second outlet 60 of the second manifold 34 helps to slow the rate of increase of concentration of this sediment in the continuous flowpath. The volume of wine leaving the continuous flowpath via the second outlet 60 is generally two to four times the volume of wine that leaves the flowpath as filtered wine. As mentioned above, the volume of unfiltered wine passed to the continuous flowpath from the unfiltered liquid tank 10 corresponds to the sum of the volume of the filtered wine and the volume of unfiltered wine leaving the continuous flowpath via the second outlet 60 and thus the bleeding of unfiltered wine from the continuous flowpath via the second outlet 60 results in the passage of a greater volume of wine (having a relatively low sediment concentration) from the unfiltered liquid tank 10 to the continuous flowpath.

During the first stage of operation, as described above, wine does not flow through the first and second bypasses 94,98 as these bypasses are closed by the valves 96,100.

Circulation of unfiltered wine around the continuous flowpath continues until the unfiltered liquid tank 10 is empty. At this stage, as it is no longer possible to replenish the wine in the continuous flowpath, flow around the flowpath ceases. However, a significant volume of unfiltered wine remains in the first and second manifolds 32,34, in the second pump 92 and in the interiors of the filter media 24. It is clearly desirable to filter as much of this remaining wine as possible, so as to maximize the yield of filtered wine.

In order to filter at least part of the remaining wine the system is operated in a second stage. It will be appreciated that by using suitable sensors and automatic controls for opening and closing the valves, the second stage operation can be performed immediately after circulation around the continuous flowpath ceases.

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In the second stage, the valves 96,100 in the first and second bypasses 94,98 are opened. Additionally, the valve 66 in the vent 64 is opened. The remaining valves, 84,90,46,56,74,80 and 62 are closed. The second pump 92 is turned off and the first pump 96 remains functioning.

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Making reference to Figure 1, unfiltered wine from the second pump 92 and from the portion of the second feedline 88 between the valve 90 and the second pump 92 passes through the first bypass 94 to the inlet of the first pump 86 and is pumped via the first pump 86 through the second bypass 98. The wine then passes into the filter 14 through the fluid inlet 26 and through the flowpath formed by the interior 101 of the filter medium 24 of the filter 14 to the filter outlet 28 of this filter 14. Unfiltered wine then passes through the valve 56.

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As described above, the valve 56 is closed but is provided with holes through the valve blade. The holes allow a restricted flow of the wine through the valve 56. The restriction in flow of wine through the valve 56 acts to increase the pressure within the

interior 101 of the filter medium 24 of the filter 14, thereby aiding filtration through the filter medium 24.

5 The majority of wine passing through the valve 56 will then flow through the first outlet 58 of the second manifold 34 back to the first bypass 94. A small portion of the wine passing through the valve 56 may pass into the filters 16,18,20.

10 During this process, unfiltered wine in the inlets 50,52,54 of the second manifold 34, in the interiors 101 of the filter media 24 of the filters 16,18,20 and in the first manifold 32 passes into and through the second pump 92 (under gravity) so as to be pumped through the filter 14 via the first and second bypasses 94,98, as described above. The vent 64 admits air to facilitate this.

15 It will be appreciated that the first bypass 94, part of the first feedline 82 (leading between the first bypass 94 and the first pump 86), the first pump 86, a portion of the second feedline 88 (leading between the first pump 86 and the second bypass 98), the second bypass 98, the interior 101 of the filter medium 24 of the filter 14, the inlet 48 of the second manifold 34, the first outlet 58 of the second manifold 34 and another portion of the second feedline 88 (from the outlet 58 to the first bypass 94) form a
20 second continuous flowpath. After the components of the second continuous flowpath have been primed (if necessary), the first pump 86 circulates unfiltered wine around this second continuous flowpath. As wine is lost from this second continuous

flowpath, either by filtration across the filter medium 24 of the filter 14 or by passage into the filters 16,18,20, this wine is replaced by wine in the second pump 92, in the first manifold 32 and in the interiors of the filter media 24 of the filters 16,18,20. Thus, in the second stage of operation, the first manifold 32, the second pump 92 and the interiors of the filter media 24 of the filters 16,18,20 (which are included in the first but not in the second continuous flowpath) act in the same way, as a reservoir, as the unfiltered liquid tank 10 acts in the first stage of operation. In other words, wine passes from the first manifold 32, the second pump 92 and the interiors of the filter media 24 of the filters 16,18,20 to the second continuous flowpath in response to loss (including loss by filtration) of wine from the second continuous flowpath.

The volume of this second continuous flowpath, as described above, is considerably less than the volume of the continuous flowpath (described above) of the first stage. This is because the first and second bypasses 94,98 are formed by narrow bore pipes and because the second continuous flowpath does not include the first manifold 32, the interiors of the filter media 24 of the filters 16,18,20, or the second pump 92. Each of these excluded components has a relatively large volume.

Circulation around the second continuous flowpath will continue until the second pump 92, the first manifold 32 and the interiors of the filter media 24 of the filters 16,18,20 have been emptied of wine. (The relative heights of the components of the filtration system are chosen to allow complete emptying of the interiors 101 of the

filters 16,18,20, of the first manifold 32 and of the second pump 92.) Circulation of wine around the second continuous flowpath will stop shortly thereafter.

There will, of course, be a volume of wine remaining in the second continuous flowpath after circulation has ceased. However, as the volume of the second continuous flowpath is considerably less than that of the continuous flowpath of the first stage, the volume of remaining unfiltered wine after the second stage will be considerably less than the volume of unfiltered wine remaining after the first stage. For example, the volume of wine remaining after the first stage may be approximately 470-500 litres, whereas the volume of wine remaining after the second stage may be approximately 50 litres or less.

Wine filtered by the filter 14 during the second stage collects in the annular space 31 of the filter 14, passes through the upper and lower liquid outlets 30 into the third and fourth manifolds 68,70 and passes from the manifolds 68,70 into the line 76 to the filtered fluid tank 12. As the valves 74,80 are closed, the parts of the second and third manifold 68,70 serving the filters 16,18,20 are isolated from the first inlets of the third and fourth manifolds 68,70 and from the outlets 72,78 of the third and fourth manifolds 68,70.

The system has a number of advantages.

Firstly, the unfiltered wine remaining in the continuous flowpath after the first stage is partly filtered during the second stage without the need for a separate filtration system.

5 Secondly, the time and extra handling involved in transferring unfiltered wine to a separate filtration system are avoided.

10 Thirdly, the manifolds can have large cross-sectional areas, as they are not included in the second continuous flowpath. This decreases friction and heat generation during circulation in the first stage.

15 A second filtration system is shown in Figure 3. Features of the second filtration system that are common to the first filtration system described above and the second filtration system are not described in detail below and will be given the same reference numerals as the corresponding features of the first filtration system.

The second filtration system is structurally identical to the first filtration system with two exceptions.

20 The first exception is that, in place of the valve 56 of the first filtration system, the second filtration system has a butterfly valve 102 that has a single hole drilled through its valve blade. The arrangement is such that when the butterfly valve 102 is open,

liquid can flow through the valve 102 readily (as for the valve 56 of the first system). When the butterfly valve 102 is closed, the single hole in the valve blade allows a flow of liquid through the valve 102 that corresponds to about 10% of the liquid flow permitted by the several holes of the valve 56 of the first filtration system when the valve 56 is closed.

The second exception is that a third bypass 103 is connected between the first bypass 94 and the portion of the inlet 48 between the filter 14 and the butterfly valve 102. The connection between the third bypass 103 and the first bypass 94 is permanently open. The connection between the third bypass 103 and the inlet 48 is closed when the butterfly valve 102 is open and open when the butterfly valve 102 is closed. The third bypass 103 is as short as possible and has a smaller bore than the first outlet 58 of the second manifold 34.

In operation, as for the first filtration system, the second filtration system is used to filter wine to remove sediment from the wine. The second filtration system also operates in two stages. The first operational stage of the second filtration system is similar to the first operational stage of the first filtration system - the butterfly valve 102 being open (corresponding to the valve 56 of the first filtration system being open during the first operational stage of the first filtration system). As the butterfly valve 102 is open, the connection between the inlet 48 and the third bypass 103 is closed and no flow through the third bypass 103 occurs.

Hence, during the first operational stage of the second filtration system, the second pump 92, the first manifold 32, the interior of the filter media 24, the second manifold 34 (including the inlets 48,50,52,54, the first outlet 58 but excluding the second outlet 60) and a small portion of the second feedline 88 (between the first outlet 58 and the second pump 92) form a first continuous flowpath for unfiltered wine. As wine is lost from this continuous flowpath by filtration and via the outlet 60 of the second manifold, it is replaced by wine from the unfiltered liquid tank 10 (as for the first operational stage of the first filtration system). The first operational stage ends once the unfiltered liquid tank 10 has emptied.

The second operational stage then starts.

In the second stage, the valves 96,100 in the first and second bypasses 94,98 are opened. Additionally, the valve 66 in the vent 64 is opened. The butterfly valve 102 and the remaining valves, 84,90,46,74,80 and 62 are closed. The second pump 92 is turned off and the first pump 96 remains functioning.

Making reference to Figure 3, unfiltered wine from the second pump 92 and from the portion of the second feedline 88 between the valve 90 and the second pump 92 (the second pump 92 and the portion in turn being fed with wine from the first manifold 32 and the first outlet 58 of the second manifold 34) passes through the first bypass 94 to the inlet of the first pump 86 and is pumped via the first pump 86 through the

second bypass 98. During this process wine does not enter, or enters only to a small extent, the third bypass 103. The wine then passes into the filter 14, through the fluid inlet 26, and through the flowpath formed by the interior 101 of the filter medium 24 of the filter 14 to the filter outlet 28 of this filter 14. Unfiltered wine then passes from
5 the filter 14 to the third bypass 103 and to the butterfly valve 102.

As described above, the butterfly 102 is closed but is provided with a single hole through the valve blade. The hole allows about 10% of the unfiltered wine passing from the filter 14 to pass through the valve 102 so as to enter the first outlet 58 of the
10 second manifold 34 and the filters 16,18,20 via the inlets 50,52,54 of the second manifold 34. The remainder of the unfiltered wine passing from the filter 14 (about 90%) passes through the third bypass 103 back to the first bypass 94.

It will be appreciated that part of the first bypass 94, (extending between the third
15 bypass 103 and the first feedline 82), part of the first feedline 82 (leading between the first bypass 94 and the first pump 86), the first pump 86, a portion of the second feedline 88 (leading between the first pump 86 and the second bypass 98), the second bypass 98, the interior 101 of the filter medium 24 of the filter 14, part of the inlet 48 of the second manifold 34, (leading between the filter 14 and the third bypass 103),
20 and the third bypass 103 form a second continuous flowpath. After the components of the second continuous flowpath have been primed (to the extent that this is necessary), the first pump 86 circulates unfiltered wine around the second continuous

flowpath. As wine is lost from the second continuous flowpath (either by filtration across the filter medium 24 of the filter 14 or by passage through the hole in the valve blade of the valve 102 into the filters 16,18,20 or into the first outlet 58), this wine is replaced by wine in the second pump 92, in the first manifold 32, in the interiors of the filter media 24 of the filters 16,18,20 and in the first outlet 58 of the second manifold 34. Thus, in the second stage of operation, the first manifold 32, the second pump 92, the interiors of the filter media 24 of the filters 16,18,20 and the first outlet 58 of the second manifold 34 act in the same way (as a reservoir) as the unfiltered liquid tank 10 acts in the first stage of operation.

The volume of the second continuous flowpath (as described above) of the second filtration system is considerably less than the volume of the second continuous flowpath (described above) of the second operational stage of the first filtration system. This is because the third bypass 103 has a narrower bore than the first outlet 58 of the second manifold 34 (which forms part of the second continuous flowpath of the first filtration system and which is replaced in the second continuous flowpath of the second filtration system by the third bypass 103).

Circulation around the second continuous flowpath will continue until the second pump 92, the first manifold 32, the interiors of the filter media 24 of the filters 16,18,20 and the first outlet 58 of the second manifold 34 have been emptied of wine. (The relative heights of the components of the filtration system are chosen to allow

complete emptying of these components.) Circulation of wine around the second continuous flowpath will stop shortly thereafter.

There will, of course, be a volume of wine remaining in the second continuous flowpath after circulation has ceased. This may be as low as 10 litres in total. Hence, the use of the third bypass 103 allows the amount of residual wine to be reduced even further.

Wine filtered by the filter 14 during the second stage passes to the filtered fluid tank 12 as for the second operational stage of the first filtration system.

The first and second filtration systems need not be used to filter wine, any suitable liquid may be filtered. The systems may also be adapted to filter gases.

It will be appreciated that the first and second filtration systems may be adapted in many different ways. For example, the systems may use any plural number of filters. Where more than two filters are used, fluid is preferably passed through two or more, but not all, of the filters during the second stage.

Whereas, in the systems described above, liquid circulates from a lower manifold up through the filters 14,16,18,20 to an upper manifold in the first stages and upwardly through the filter 14 in the second stages, this need not be the case. In either the first

and/or the second stage of either the first or second filtration system liquid (or gas) may pass downwardly through the filters.

The filters 14,16,18,20 described above are tangential filters with no moving components. However, dynamic filters utilizing tangential flow may also be used. Such dynamic filters may include a cylindrical filter medium arranged concentrically with a non-filtering cylinder such that the filter medium lies closely adjacent to the non-filtering cylinder. The filter medium and the non-filtering cylinder rotate relative to one another and fluid flows between the filtration medium and the non-filtering cylinder. Filtration is tangential across the filter medium. Other dynamic filters which may utilize tangential flow include those disclosed in International Publications Nos. WO95/00231, WO97/02087, WO97/13571.

Alternatively, the system may use a single filter housing a filter medium. As fluid is circulated around the first continuous flowpath, the fluid passes through the filter and contacts the whole area of the filter medium. As fluid is circulated around the second continuous flowpath it may pass through the filter in the same way as for the first continuous flowpath or it may pass through part of the filter so as to contact only a part of the filter medium.

Alternatively, the first and second continuous flowpaths may be associated with separate filtration means and have no common filtration means. In this case the first and second continuous flowpaths need not have any common part.

- 5 Filtration of fluid circulating around either continuous flowpaths need not be tangential filtration. Any type of filtration in which fluid circulating around a flowpath leaves the flowpath on filtration may be used.

CLAIMS

1. A filtration system comprising, a first continuous flowpath for circulation of fluid therearound, a second continuous flowpath for circulation of fluid therearound after said circulation around said first continuous flowpath, a portion of the first continuous flowpath not being included in the second continuous flowpath and the second continuous flowpath having a lower volume than the first continuous flowpath, fluid circulating around each continuous flowpath being filtered so that fluid leaves said each continuous flowpath on filtration, means for passing fluid to the first continuous flowpath in response to said filtration of fluid circulating around the first continuous flowpath, and means for passing fluid to the second continuous flowpath from the portion in response to said filtration of fluid circulating around the second continuous flowpath.

2. A filtration system according to claim 1, wherein the filtration of fluid circulating around the first continuous flowpath comprises tangential filtration and the filtration of fluid circulating around the second continuous flowpath comprises tangential filtration.

3. A filtration system according to claim 1 or claim 2, wherein said filtration of fluid circulating around each continuous flowpath is performed by filtration means associated with both the first and second continuous flowpaths.

4. A filtration system according to claim 3, wherein the first continuous flowpath is associated with further filtration means that filters fluid circulating around the first continuous flowpath so that fluid leaves the first continuous flowpath on filtration.

5. A filtration system according to claim 4, wherein the system comprises a plurality of filters, each filter defining a respective filter flowpath and having a respective filter medium disposed adjacent the corresponding filter flowpath for filtration of fluid passing through the corresponding filter flowpath, the first-mentioned filtration means comprising at least one but not all of the filters and the or each filter flowpath of said at least one filter being included in each continuous flowpath, and the further filtration means comprising the or each filter other than said at least one filter and the or each filter flowpath of said the or each other filter being included in the first but not the second continuous flowpath.

6. A filtration system according to claim 5, wherein the fluid circulating around the first continuous flowpath passes in parallel through the filter flowpaths.

7. A filtration system according to claim 6, wherein the system comprises a manifold connected to each filter and included in the first continuous flowpath, the portion comprising at least part of the manifold.

8. A filtration system according to claim 7, wherein the second continuous flowpath includes at least one bypass that allows fluid to bypass said at least part of the manifold during circulation of fluid around the second continuous flowpath.

5 9. A filtration system according to any one of claims 1 to 8, wherein the means for passing fluid to the first continuous flowpath comprises a reservoir.

10 10. A filtration system comprising a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter, the system being selectively operable in a first state in which the manifold and the filter flowpaths form part of a first continuous flowpath around which fluid circulates passing in parallel through the filter flowpaths and a second state in which fluid circulates around a second continuous flowpath including the filter flowpath of at least one but not all of the filters, the second continuous flowpath having a lower volume than the first continuous flowpath and fluid passing into the second continuous flowpath from the manifold responsive to tangential filtration in the second state.

20 11. A filtration system according to claim 10, wherein the second continuous flowpath includes at least one bypass that allows fluid to bypass at least part of the manifold during circulation of fluid around the second continuous flowpath.

12. A filtration system according to any one of claims 7 to 11, wherein the manifold is located below the filters for drainage of fluid from the or each filter flowpath not included in the second continuous flowpath into the manifold during circulation of fluid around the second continuous flowpath.

13. A filtration system according to any one of claims 5 to 12, including means for selectively restricting flow from the or each filter flowpath of said at least one filter.

14. A filtration system according to claim 8 or claim 11, wherein the system includes a further manifold connected to each filter and included in the first continuous flowpath, one of the manifolds providing fluid to the filters and the other one of the manifolds receiving fluid from the filters during circulation of fluid around the first continuous flowpath, the second continuous flowpath including at least one bypass that allows fluid to bypass at least part of the further manifold during circulation of fluid around the second continuous flowpath.

15. A filtration system according to any preceding claim, wherein the system includes a pump for circulating fluid around the first continuous flowpath, the pump being inactive during circulation of fluid around the second continuous flowpath, and fluid from the pump passing into the second continuous flowpath in response to said filtration of fluid circulating around the second continuous flowpath.

16. A filtration system according to claim 15, wherein the system includes a further pump for pumping fluid around the second continuous flowpath.

17. A filtration system according to claim 16, wherein the further pump has a lower throughput than the first-mentioned pump.

18. A filtration system according to claim 16 or claim 17, wherein the further pump holds a lower volume of fluid than the first-mentioned pump.

19. A filtration system comprising a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filter medium of fluid passing through the filter flowpath, and a manifold connected to each filter for circulation of fluid through the manifold and through, in parallel, the filter flowpaths, the system being selectively operable to pass fluid from the manifold to at least one but not all of the filters.

20. A filtration system according to claim 19, wherein fluid is passed from the manifold to said at least one of the filters via a pump located between the manifold and the said at least one filter.

21. A filtration system according to claim 19 or claim 20, including means selectively operable to restrict the flow of fluid from said at least one filter.

22. A filtration system comprising a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter, the system being selectively operable in a first state in which the manifold and the filter flowpaths form part of a first continuous flowpath around which fluid circulates passing in parallel through the filter flowpaths and a second state in which fluid flows in a second flowpath including at least a portion of the filter flowpath of at least one of the filters, the second flowpath having a lower volume than the first continuous flowpath.

23. A filtration system substantially as hereinbefore described with reference to Figure 1 or Figure 3.

24. A filtration method comprising the steps of, circulating fluid around a first continuous flowpath, fluid circulating around the first continuous flowpath being filtered so that fluid leaves the first continuous flowpath on filtration, passing fluid to the first continuous flowpath in response to said filtration of fluid circulating around the first continuous flowpath, circulating fluid around a second continuous flowpath having a lower volume than the first continuous flowpath, a portion of the first continuous flowpath not being included in the second continuous flowpath, fluid circulating around the second continuous flowpath being filtered so that fluid leaves the second continuous flowpath on filtration, passing fluid to the second continuous

flowpath from the portion in response to said filtration of fluid circulating around the second continuous flowpath.

25. A method according to claim 24, wherein the filtration of fluid circulating around the first continuous flowpath comprises tangential filtration and the filtration of fluid circulating around the second continuous flowpath comprises tangential filtration.

26. A method according to claim 24 or claim 25, wherein said filtration of fluid circulating around each continuous flowpath is performed by filtration means associated with both the first and second continuous flowpaths.

27. A method according to claim 26, including filtering fluid circulating around the first continuous flowpath with further filtration means such that fluid filtered by the further filtration means leaves the first continuous flowpath on filtration.

28. A method according to claim 27, wherein the first-mentioned filtration means includes at least one filter and the further filtration means includes a further at least one filter, each filter defining a respective filter flowpath and having a respective filter medium disposed adjacent the corresponding filter flowpath for filtration of fluid passing through the corresponding filter flowpath, the or each filter flowpath of the first-mentioned at least one filter being included in each continuous flowpath and the

or each filter flowpath of the further at least one filter being included in the first but not the second continuous flowpath.

29. A filtration method according to claim 28, wherein said circulation of fluid around the first continuous flowpath comprises passing fluid in parallel through the filter flowpaths.

30. A filtration method according to claim 29, wherein said circulation of fluid around the first continuous flowpath comprises passing fluid through a manifold connected to each filter, said portion comprising at least part of the manifold.

31. A filtration method comprising the steps of: providing a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter; circulating fluid around a first continuous flowpath formed partly by the manifold and the filter flowpaths, the fluid passing in parallel through the filter flowpaths; and circulating fluid around a second continuous flowpath including the filter flowpath of at least one but not all of the filters; the second continuous flowpath having a lower volume than the first continuous flowpath and fluid passing into the second continuous flowpath from the manifold in response to tangential filtration by said at least one filter.

32. A method according to claim 30 or claim 31, wherein fluid drains from the or each filter flowpath not included in the second continuous flowpath into the manifold during circulation of fluid around the second continuous flowpath.

5 33. A method according to any one of claims 24 to 32, wherein said circulation around the first continuous flowpath comprises pumping fluid around the first continuous flowpath with a pump included in the first continuous flowpath, the pump being inactive during circulation of fluid around the second continuous flowpath and fluid from the pump passing into the second continuous flowpath in response to said
10 filtration of fluid circulating around the second continuous flowpath.

15 34. A filtration method comprising the steps of: providing a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filter medium of fluid passing through the filter flowpath, and a manifold connected to each filter; circulating fluid through the manifold and through, in parallel, the filter flowpaths; and passing fluid from the manifold to at least one but not all of the filters.

20 35. A method according to claim 34, wherein said passage of fluid comprises passing fluid via a pump located between the manifold and the said at least one filter.

36. A filtration method comprising the steps of: providing a plurality of filters, each filter defining a respective filter flowpath extending adjacent a respective filtration medium for tangential filtration by the filtration medium of fluid passing through the filter flowpath, and a manifold connected to each filter; circulating fluid around a first continuous flowpath formed partly by the manifold and the filter flowpaths, the fluid passing in parallel through the filter flowpaths; flowing fluid in a second flowpath including at least a portion of the filter flowpath of at least one of the filters; the second flowpath having a lower volume than the first continuous flowpath.

37. A filtration method substantially as hereinbefore described with reference to Figure 1 or Figure 3.

COMBINED DECLARATION AND POWER OF ATTORNEY

As below named inventor, I hereby declare that

This declaration is of the following type:

- ☐ original ☐ design ☐ supplemental
☒ national stage of PCT
☐ divisional ☐ continuation ☐ continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Filtration Systems and Methods

the specification of which:

- ☐ is attached hereto.
☐ was as filed on _____ Serial No. _____ and was amended on _____ (*if applicable*).
☐ was filed by Express Mail No. _____, as Serial No. not known yet, and was amended on _____ (*if applicable*).
☒ was described and claimed in PCT International Application No. PCT/EP99/06424 filed on 31AUGUST1999 and as amended under PCT Article 19 on _____ (*if any*).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

COUNTRY	APPLICATION	DATE OF FILING (day,month,year)	PRIORITY CLAIMED UNDER 35 USC 119			
UNITED KINGDOM	9820935.6	25 Sept 1998	X	YES		NO
				YES		NO
				YES		NO

I hereby claim the benefit pursuant to Title 35, United States Code, § 119(e) of the following United States provisional application(s):

PRIOR U.S. PROVISIONAL APPLICATIONS CLAIMING THE BENEFIT UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120					
U.S. APPLICATIONS			Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1. 0 /					
2. 0 /					
3. 0 /					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (<i>check one</i>)		
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4. EP99/06424	31 Aug 1999				
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLN. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,yr)	DATE OF ISSUE (day,month,yr)
1. EP99/06424	UNITED KINGDOM	9820935.6	25 Sept 1998	
2.				
3.				
4.				
5.				
6.				

As a named inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

43
Berton Scott Sheppard, Reg. 20922
James B. Muskal, Reg. 22797
Dennis R. Schlemmer, Reg. 24703
Gordon R. Coons, Reg. 20821
John E. Rosenquist, Reg. 26356
John W. Kozak, Reg. 25117
Charles S. Oslakovic, Reg. 27583
Mark E. Phelps, Reg. 28461
H. Michael Hartmann, Reg. 28423
Bruce M. Gagala, Reg. 28844
Charles H. Mottier, Reg. 30874
John Kilyk, Jr., Reg. 30763
Robert F. Green, Reg. 27555
John B. Conklin, Reg. 30369
James D. Zalewa, Reg. 27848

John M. Belz, Reg. 30359
Brett A. Hesterberg, Reg. 31837
Jeffrey A. Wyand, Reg. 29458
Richard M. Johnson, Reg. 33405
Paul J. Korniczky, Reg. 32849
Pamela J. Ruschau, Reg. 34242
Steven P. Petersen, 32927
John M. Augustyn, Reg. 33589
Christopher T. Griffith, Reg. 33392
Frederick N. Samuels, Reg. 37415
Wesley O. Mueller, Reg. 33976
Jeremy M. Jay, Reg. 33587
Jeffrey B. Burgan, Reg. 35463
Eley O. Thompson, Reg. 36035
Mark Joy, Reg. 35562

Regina M. Anderson, Reg. 35820
Allen E. Hoover, Reg. 37354
David M. Airan, Reg. 38811
Michael H. Tobias, Reg. 32948
Xavier Pillai, Reg. 39799
G. Russell Thill, Reg. 39854
David M. Thimmig, Reg. 36034
Carol Larcher, Reg. 35243
Thomas A. Miller, Reg. 40091
David J. Schodin, Reg. 41294
Paul L. Ahern, Reg. 17020
Theodore W. Anderson, Reg. 17035
Noel I. Smith, Reg. 18698

I further direct that correspondence concerning this application be directed to LEYDIG, VOIT & MAYER, LTD.,
Suite 300, 700 Thirteenth Street N.W., Washington D.C. 20005. Phone: (202)737-6770 Fax: (202)737-6776

I hereby declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: John Slegers

Inventor's signature [Signature]

Date 26-03-2001 Country of Citizenship: The Netherlands

Residence: Breda, The Netherlands

Post Office Address: Johan Marijneneboog 36, 4827 HH Breda, The Netherlands

Full name of second joint inventor, if any: _____

Inventor's signature _____

Date _____ Country of Citizenship: _____

Residence: _____

Post Office Address: _____

Full name of third joint inventor, if any: _____

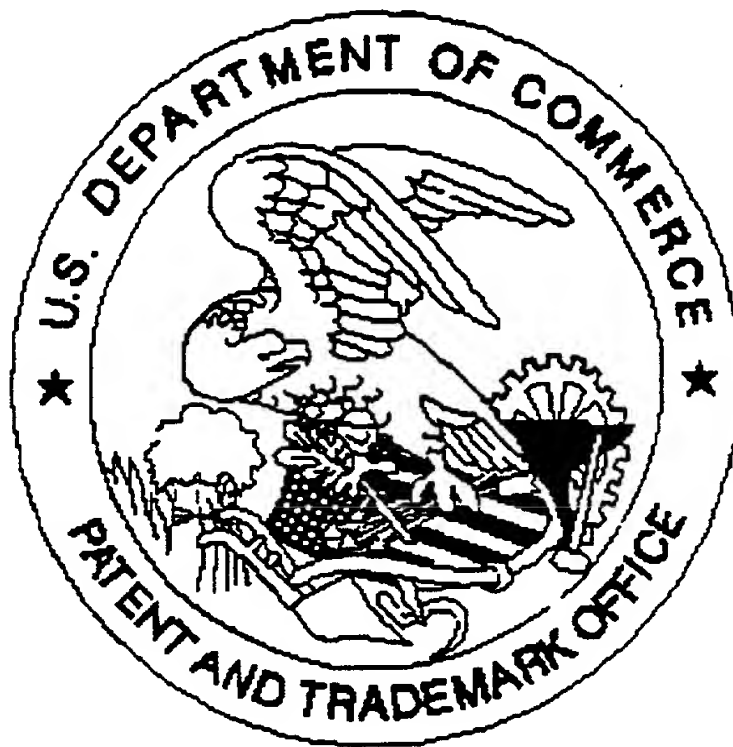
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